

Good Decisions: A Monthly Webinar for Enterprise AI Governance Insights

Episode 7

Model Operations: Jump Start Model Governance and Analytics

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Agenda

FINRA's Model Operations Journey

1. Introductions
2. Fireside Chat with FINRA
3. Takeaways and announcement

Meet The Speakers



Sumalatha Bachu

Senior Director, Technology at FINRA

- 23+ years of diversified Technology Experience
- Specializes in Big Data Operations, Enterprise Application Monitoring Solutions, Automation, Data Analytics, and Machine Learning Model Governance and Monitoring Domains
- Leads multi-disciplinary teams managing market regulation Batch/Data operations
- Oversees petabyte-scale data and complex workloads in FINRA's Cloud-based Big Data architecture



Dr. Harvey Westbrook

Senior Director, Asst. Chief Economist, Regulatory Economics and Market Analysis at FINRA

- PhD in economics and MS in statistics with deep experience in both the private and public sectors
- Subject matter expert on automated investment advisors and analytic projects at hedge funds, mutual funds, investments advisors, and broker-dealers
- Former Analytics leaders with Deloitte Transactions and Business Analytics and the Security and Exchange Commission (SEC)
- Manages multi-disciplinary teams that support enterprise-wide initiatives

How to Jump Start Model Governance and Analytics

- 1. Start model operations and governance now, don't wait**
- 2. Work towards stakeholder alignment — business, analytical, and technical**
- 3. Commence governance processes at the beginning of the model lifecycle, not the end**

Announcement

Join ModelOp's 2024 AI Governance Leadership Summit on September 25



Register for the virtual event here:

<https://www.modelop.com/2024-ai-governance-leadership-summit>

Thank You

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Talk to your Account Executive to get started today.**

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ModelOp is the leading AI Governance software for enterprises and helps safeguard all AI initiatives — including generative AI, Large Language Models (LLMs), in-house, third-party, and embedded systems — without stifling innovation. Through automation and integrations, ModelOp empowers enterprises to quickly address the critical governance and scale challenges necessary to protect and fully unlock the transformational value of enterprise AI — resulting in effective and responsible AI systems.